

PTO/SB/81 (01-06)
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Application Number	Similation officess it displays a valid office control name	~
Application Number	09/496,389	•
Filing Date	02/02/2000	
First Named Inventor	Boris V. Marchegiani	
Title	Method and System for Automated	
Art Unit	3694	
Examiner Name	Colbert, Ella	
Attorney Docket Number	341-001	

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Applicant/Inventor.				
Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SE	3/96)			
SIGNATURE of Applica	nnt or Assignee of Record			
Signature (4)	Date			
Name Ryan Fry	Telephone			
Title and Company CMVT, LLC 155 Fleet Street, Portsmouth, New Hampshire 03801				
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.				
Total of forms are submitted.				

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/96 (01-08)

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STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: <u>CMVT, LLC</u> Application No./Patent No.: 09/496,389 Filed/Issue Date: 02/02/2000 Entitled: METHOD AND SYSTEM FOR AUTOMATED AUCTION AND TENDER OF COMPLEX MULTI-VARIABLE COMMODITIES CMVT H.C Limited Liability Company (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) states that it is: 1. The assignee of the entire right, title, and interest; or 2. an assignee of less than the entire right, title and interest (The extent (by percentage) of its ownership interest is____ in the patent application/patent identified above by virtue of either: A Man assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel ______, Frame _____, or for which a copy thereof is attached. OR B. \(\sqrt{}\) A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows: 1. From: Boris V. Marchegiani To: Petroleum Electronic Pricing Exchange (PEPEX), LLC The document was recorded in the United States Patent and Trademark Office at or for which a copy thereof is attached. Reel 010830 Frame 0619 2. From: PEPEX.NET, LLC To: CMVT, LLC The document was recorded in the United States Patent and Trademark Office at , Frame _____, or for which a copy thereof is attached. To: The document was recorded in the United States Patent and Trademark Office at ___, or for which a copy thereof is attached. , Frame Additional documents in the chain of title are listed on a supplemental sheet. As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.081 o act on behalf of the assignee. Signature Joe H. Shallenburger 908-277-3333 Printed or Typed Name Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce.; P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/21 (08-08)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 09/496,389 TRANSMITTAL Filing Date 02/02/2000 First Named Inventor **FORM** Boris V. Marchegiani Art Unit 3694 **Examiner Name** Ella Colbert (to be used for all correspondence after initial filing) Attorney Docket Number 341-001

	ENCLOSURES (Check all that apply)					
\checkmark	Fee Transmittal Form	Drawing(s) After Allowance Communication to TC				
٠	Fee Attached	Licensing-related Papers Appeal Communication to Board of Appeals and Interferences				
	Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s)	Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Statement under 37 C.F.R 3.73(b) Assignment Document Recordation Form Cover Sheet Return Receipt Postcard				
	Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53	TUDE OF ADDITIONAL ATTORNEY OR ACENT				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name WARD& OLIVO						
Signate	ure A	Mhy				
Date	Me H. Shallenburger	Reg. No. 37,937				
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.